

12/13/01  
JCS45 U.S. PTOPlease type a plus sign (+) inside this box  +

PTO/SB/05 (11-00)

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10/015518

12/13/01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**  
*(Only for new nonprovisional applications  
under 37 CFR 1.53(b))*

<i>Attorney Docket No.</i>	750036.401C1
<i>First Inventor</i>	Ian James Rickards
<i>Title</i>	METHOD FOR ASPHALT COMPACTION AND COMPACTION APPARATUS
<i>Express Mail Label No.</i>	EV020613475US

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program ( <i>Appendix</i> )
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission ( <i>if applicable, all necessary</i> )
3. <input checked="" type="checkbox"/> Specification [Total Pages <u>28</u> ]	a. <input type="checkbox"/> Computer Readable Form (CRF)
- Descriptive title of the Invention	b. <input type="checkbox"/> Specification Sequence Listing on:
- Cross Reference to Related Applications	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
- Statement Regarding Fed sponsored R & D	ii. <input type="checkbox"/> paper
- Reference to sequence listing, a table, or a computer program listing appendix	c. <input type="checkbox"/> Statements verifying identity of above copies
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings ( <i>if filed</i> )	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>4</u> ]	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
5. Oath or Declaration [Total Sheets <u>2</u> ]	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney ( <i>when there is an assignee</i> )
a. <input type="checkbox"/> Newly executed (original or copy)	11. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )
b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) ( <i>for a continuation/divisional with Box 18 completed</i> )	12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	13. <input checked="" type="checkbox"/> Preliminary Amendment
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>Should be specifically itemized</i>
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) ( <i>if foreign priority is claimed</i> )
	16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
	17. <input checked="" type="checkbox"/> Other: <u>Check</u>

18. If a CONTINUING APPLICATION OR APPLICATION CLAIMING FOREIGN PRIORITY, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)     Claims priority from application No. 09/269,003

Prior application information

Examiner Gary HartmannGroup Art Unit: 3673

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Correspondence address below		or:	<input checked="" type="checkbox"/> Customer Number or Bar Code Label
Firm Name			
Address			
City, State, Zip			
Country			
Telephone	Fax		
Name (Print/Type)	Susan D. Betcher	Registration No. (Attorney/Agent)	43,498
Signature		Date	December 13, 2001

  
**00500**

PATENT TRADEMARK OFFICE

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 740)

Complete if Known	
Application Number	
Filing Date	December 13, 2001
First Named Inventor	Ian James Rickards
Examiner Name	
Group Art Unit	
Attorney Docket No.	750036.401C1

## METHOD OF PAYMENT

 Payment Enclosed:  
 Check    Credit card    Money Order    Other

 Deposit Account:

Deposit Account Number

19-1090

Deposit Account Name

Seed Intellectual Property Law Group PLLC

The Commissioner is authorized to (check all that apply)

Charge fee(s) indicated below    Credit any overpayments.  
 Charge any additional fee(s) during the pendency of this application.  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

 Applicant claims small entity status. See 37 CFR 1.27

## FEE CALCULATION (continued)

3. ADDITIONAL FEES	
Large Entity	Small Entity
Fee Code	Fee (\$)
105	130
127	50
139	130
147	2,520
112	920*
113	1,840*
115	110
116	400
117	920
118	1,440
128	1,960
119	320
120	320
121	280
138	1,510
140	110
141	1,280
142	1,280
143	460
144	620
122	130
123	50
126	180
581	40
146	740
149	740
179	740
169	900
Fee Description	
Surcharge - late filing fee or oath	
Surcharge - late provisional filing fee or cover sheet.	
Non-English specification	
For filing a request for ex parte reexamination	
Requesting publication of SIR prior to Examiner action	
Requesting publication of SIR after Examiner action	
Extension for reply within first month	
Extension for reply within second month	
Extension for reply within third month	
Extension for reply within fourth month	
Extension for reply within fifth month	
Notice of Appeal	
Filing a brief in support of an appeal	
Request for oral hearing	
Petition to institute a public use proceeding	
Petition to revive – unavoidable	
Petition to revive – unintentional	
Utility issue fee (or reissue)	
Design issue fee	
Plant issue fee	
Petitions to the Commissioner	
Petitions related to provisional applications	
Submission of Information Disclosure Stmt	
Recording each patent assignment per property (times number of properties)	
Filing a submission after final rejection (37 CFR § 1.129(a))	
For each additional invention to be examined (37 CFR § 1.129(b))	
Request for Continued Examination (RCE)	
Request for expedited examination of a design application	
Fee Paid	

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)		(\$ 740)			

## 2. EXTRA CLAIM FEES

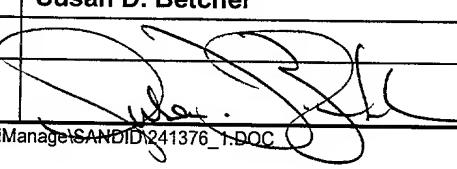
Total Claims	Extra Claims	Fee from below	Fee Paid
20	-20** = 0	*	= 0
Independent Claims	2	-3** = 0	*
Multiple Dependent		*	= 0

Large Entity	Small Entity			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$ 0)		

\*\*or number previously paid, if greater. For Reissues, see above

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

SUBMITTED BY	
Name (Print/Type)	Susan D. Betcher
Firm Name/Address	
Signature	
Date	December 13, 2001



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